

BOARD OF DIRECTORS

Jeffrey F. Caughron

President and Chief Executive Officer

Richard L. Hansing

Managing Director

Eric A. Harland

Managing Director

Ryan W. Hayhurst

Managing Director

Ronald A. Hill

Managing Director

Carl W. Huxley

Managing Director

Michael W. Mackey

Managing Director

Torrance P. McElwee

Managing Director

Philip C. Stenseth, C.F.A.

Managing Director

Daniel R. Tonseth

Managing Director

Oklahoma City

(405) 415.7200

(800) 937.2257

Atlanta

(405) 415.7337

(855) 500.4546

Austin

(512) 320.0301

(888) 480.0301

Indianapolis

(317) 567.0016

(800) 406.0016

Long Island

(405) 415-7332

(800) 937-2224

Salt Lake City

(801) 990.1701

(800) 288.9411

Springfield

(217) 241.0835

(888) 333.7704

Statement of Financial Condition

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June 30, 2020

(unaudited)

The Baker Group

1601 Northwest Expressway, 20th Floor
Oklahoma City, Oklahoma 73118
405.415.7200 or 1.800.937.2257

www.GoBaker.com

STATEMENT OF FINANCIAL CONDITION, JUNE 30, 2020

ASSETS

Cash and cash equivalents	\$ 20,864,160
Cash and securities segregated under federal and other regulations	391,998
Receivables from brokers and dealers	46,525,917
Receivables from customers – cash and fully secured accounts	510,760
Securities owned fair value	8,155,030
Property and equipment at cost, net accumulated depreciation of \$1,262,966.	92,102
Cash surrender value of life insurance policies	1,766,182
Other assets	4,821,823
Total Assets	\$ 83,127,972

LIABILITIES AND PARTNERS' CAPITAL

Securities sold under agreement to repurchase	23,005,340
Payables to customers	1,760,767
Accrued liabilities	16,723,071
Total Liabilities	41,489,178
Partners' Capital	
General Partner	18,304,031
Limited Partners	23,334,763
Total Partners' Capital	41,638,794
Total Liabilities and Partners' Capital	\$ 83,127,972

NOTES TO FINANCIAL STATEMENT AS OF JUNE 30, 2020

1. ORGANIZATION AND OPERATIONS

The Baker Group LP (the "Partnership") is registered under the Securities Exchange Act of 1934 (the "Act") as a general securities broker and dealer. The Partnership's customer base consists primarily of community banks. The Partnership is also registered as an investment adviser under the Investment Adviser Act of 1940.

2. NET CAPITAL REQUIREMENTS

The Partnership is subject to the Uniform Net Capital Rule (15c3-1) under the Securities Exchange Act which requires the maintenance of minimum net capital. Rule 15c3-1 also limits the amount of capital withdrawals that can be made within any 30-day period without notification and/or approval of the Securities and Exchange Commission. The Partnership has elected to use the alternative method, permitted by the rule, which requires the Partnership to maintain minimum net capital, as defined, equal to the greater of \$250,000 or two percent of aggregate debit balances arising from customer transactions, as defined. At June 30, 2020, the Partnership had net capital of \$37,799,980 which was \$37,549,980 in excess of required net capital.